

Faculty of Medicine
Department of Public Health

ExplorinG frailty and mild cognitive impairmEnt in adult kidney tRansplant recipients to enhance risk prediction for biomedicAl, psychosocial, and health costs outcomeS: A multicenter repeated measures study design nested in the Swiss Transplant Cohort Study.

GERAS (Greek mythology 'God of old age')

Main applicant: Mauthner Oliver<sup>1</sup>

**Co-investigators:** Claes Veerle<sup>2</sup>, Walston Jeremy<sup>3</sup>, Engberg Sandra<sup>4</sup>, Binet Francoise-Isabelle<sup>5</sup>, Dickenmann Michael<sup>6</sup>, Golshayan Dela<sup>7</sup>, Hadaya Karine<sup>8</sup>, Huynh-Do Uyen<sup>9</sup>, Müller Thomas<sup>10</sup>, Calciolari Stefano<sup>11</sup>, De Geest Sabina<sup>12</sup>

For the Psychosocial Interest Group, Swiss Transplant Cohort Study (STCS)

- <sup>1</sup> Institute of Nursing Science (INS), University of Basel, Switzerland. Postdoctoral fellow.
- Institute of Nursing Science (INS), University of Basel, Switzerland. PhD student and research assistant.
- Center on Aging and Health, Johns Hopkins University, Baltimore, US. Professor of Geriatric Medicine and Deputy Director.
- School of Nursing, University of Pittsburgh, US. Associate Dean for Graduate Clinical Education Health Promotion & Development, Geriatric Nurse Practitioner.
- Department of Nephrology and Transplantation medicine, Cantonal Hospital St. Gallen, Switzerland. Chief Physician.
- Department for Transplantation-immunology and Nephrology, University Hospital Basel, Switzerland. Chief Physician.
- Service for Nephrology and Hypertension, Transplantation Immunopathology Laboratory, University Hospital Lausanne, Switzerland. Head of Research.
- Department of Nephrology, University Hospital Geneva, Switzerland. Deputy Chief.
- <sup>9</sup> University Clinic for Nephrology, Hypertonia and Clinical Pharmacology, University Hospital Bern, Switzerland. Chief Physician.
- <sup>10</sup> Transplantation Center Clinic for Nephrology, University Hospital Zurich, Switzerland. Chief Physician.
- <sup>11</sup> Institute of Economics (IdEP), Università della Svizzera Italiana, Lugano, Switzerland. Assistant Professor.
- <sup>12</sup> Institute of Nursing Science (INS), University of Basel, Switzerland. Professor of Nursing and Director. Academic Center for Nursing and Midwifery, KU Leuven, Belgium. Professor of Nursing.



Faculty of Medicine
Department of Public Health

## **Abstract**

## **Background**

There is a lack of evidence to guide clinical decision-making for organ allocation and to optimize clinical management for the rapidly growing cohort of older patients being evaluated for KTx. Frailty is a state of vulnerability and decline in functional ability. Emerging evidence indicates frailty as a risk factor for 'hard' clinical outcomes in adult KTx recipients, independent of chronological age and comorbidities. Frailty is highly prevalent in adult KTx recipients: 25.1 % are frail, and 33.0% are pre-frail). There is hence growing consensus that frailty might be a valuable criterion to guide clinicians' risk prediction when evaluating patients for KTx. Additionally, mild cognitive impairment (MCI) is highly prevalent in this cohort (≤ 55.0%) and predictive of mortality. Links between frailty and MCI, and a modifying impact of psychosocial factors on frailty have been established in older adults, and therefore urgently require exploration in the KTx cohort. Frail and/or cognitively impaired patients also use more healthcare resources, yet the impact of both conditions on resource use in KTx remains unexplored. This project is innovative by being the first study in KTx examining the impact of frailty and MCI from a comprehensive bio-psychosocial and health economic perspective. Our leading hypothesis is that frailty and MCI assessed pre-Tx negatively impact patient survival, HR-QOL, graft survival, delayed graft function and acute rejections, health care and societal costs, and QUALYs up to 2 years post-Tx. We also postulate that chronic, low-grade systemic inflammation is predictive for changes in frailty and MCI up to 2 years post-Tx.

## Methods

The GERAS project is a prospective, nationwide, multi-center, repeated measures study nested in the Swiss Transplant Cohort Study (STCS). This provides a unique and innovative research framework, with samples representative for the Swiss context and a comprehensive set of biomedical, psychosocial and behavioral data. A consecutive convenience sample of adult deceased- and living-donor KTx recipients (n=250) who participate in the STCS will be included at time of KTx. Follow-up will occur at 6 months, 1 and 2 years post-Tx. Primary data will be collected using a modified Fried Frailty Instrument, the Montreal Cognitive Assessment, and STCS Psychosocial Questionnaire. Inflammatory biomarkers will be analyzed from blood samples at time of KTx, 1 and 2 years post-Tx. For the health economic analysis, data from management control units of KTx centers (SwissDRGs), claims data of sickness funds, a structured self-report questionnaire, the Swiss Federal Statistical Office and the European Renal Association – European Dialysis and Transplant Association registry will be used. For all analyses, data available in the STCS will be applied. Statistical analyses will consist of descriptive statistics, graphical representations, competing risks survival analysis and mixed effects models.

## **Impact**

The GERAS project will provide highly needed evidence to enhance risk prediction for adverse outcomes in KTx for patients of all ages, and especially for the growing older cohort. Nursing's role in clinical decision-making is of upmost importance and requires careful nursing assessment and monitoring. Findings from this study will provide evidence for Advanced Practice Nurses (APNs) in managing the complexity of frail patients dealing with chronic health conditions, in order to intervene early and improve transplant outcomes. Moreover, APNs can be influential in ameliorating frailty by promoting optimal chronic illness and symptom management, and by providing psychosocial support. Project findings will fuel the development of feasible, effective and scalable intervention programs targeting frailty and MCI to improve outcomes in KTx, and nurses will play an essential part in delivering such new programs. We will moreover provide initial evidence on the impact of frailty and MCI on health care resources use in Tx, informing various stakeholders about the optimal use of limited resources to maximize health outcomes in adults with end-stage renal disease.